

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:

Kazumasa OMOTE

Application No.: To be Assigned

Group Art Unit: To be Assigned

Filed: December 9, 2003

Examiner:

For: SECURITY MANAGEMENT APPRATUS, SECURITY MANAGEMENT SYSTEM,  
SECURITY MANAGEMENT METHOD, AND SECURITY MANAGEMENT PROGRAM

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure provisions of 37 CFR § 1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application.

1. Enclosures accompanying this Information Disclosure Statement are:

- 1a. ☒ Form PTO-1449.
- 1b. ☒ Copies of IDS citations.
- 1c. ☐ An English language copy of search report(s) from a counterpart foreign application or a PCT International Search Report.
- 1d. ☒ English language Abstract (complete or relevant portion(s)) attached to each non-English language publication.
- 1e. ☒ Explanations of Relevancy of References (ATTACHMENT 1(e), hereto) for providing a concise explanation of each non-English publication.

2. ☒ In accordance with 37 CFR § 1.98, a concise explanation of what is presently understood to be the relevance of each non-English language publication is

(Check appropriate Items 2a, 2b, 2c and/or 2d)


- 2a. ☐ satisfied because all non-English language publications were cited on the enclosed "English-language version of the search report or action which indicates the degree of relevance found by the foreign office". (See MPEP 609, Minimum Requirements for an Information Disclosure Statement, Part A(3): Concise Explanation of Relevance, pp. 600-100 to 600-101, Rev. 1, Feb. 2000.)
- 2b. ☐ set forth in the application.

- 2c. ☒ satisfied because an English language translation (complete or relevant portion(s)) is attached to each non-English language publication.
- 2d. ☒ enclosed as Attachment 1(e), hereto.
3. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith). 37 CFR §§ 1.97(g) and (h).

Respectfully submitted,

STAAS & HALSEY LLP

Dated: Dec 9, 2000  
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<b>FORM PTO-1449</b> <div style="text-align: center;"> <b>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</b> </div> <div style="text-align: center;"> <b>LIST OF REFERENCES CITED BY APPLICANT</b>  <i>(Use several sheets if necessary)</i> </div>	<b>ATTORNEY DOCKET NO.</b> 1602.1031	<b>APPLICATION NO.</b> To be Assigned
<b>FIRST NAMED INVENTOR</b> Kazumasa OMOTE		
<b>FILING DATE</b> December 9, 2003		<b>GROUP ART UNIT</b> To be Assigned

### U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					

### FOREIGN PATENT DOCUMENTS

DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO
AG	2002-055839	02/20/02	JAPAN		Abst. <input type="checkbox"/>
AH	2000-250743	09/14/00	JAPAN		Abst. <input type="checkbox"/>
AI	11-327897	11/30/99	JAPAN		Abst. <input type="checkbox"/>
AJ					
AK					
AL					

### OTHER REFERENCES (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

AM	
AN	
AO	

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

<b>EXPLANATIONS OF RELEVANCY OF REFERENCES</b>	ATTORNEY DOCKET NO. 1602.1031	APPLICATION NO. To be Assigned
	FIRST NAMED INVENTOR Kazumasa OMOTE	
	FILING DATE December 9, 2003	GROUP ART UNIT To be Assigned

Inventor, Patent Number, Country, Author, Title, Name of Document	Issue date	Concise Explanation of the Relevance (indication of page, column, line, figure of the relevant portion)
Japanese Patent Laid-Open No.2002-55839	Feb.20,2002	We enclose the brief English Translation thereof.
Japanese Patent Laid-Open No.2000-250743	Sep.14,2000	We enclose the brief English Translation thereof.
Japanese Patent Laid-Open No.11-327897	Nov.30,1999	We enclose the brief English Translation thereof.